

Annexure I
Form MGT - 9

Extract of Annual Return as on the financial year ended on March 31, 2020
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the
Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

(i) CIN: U66000MH2013PTC249565

(ii) Registration Date:

2	4	1	0	2	0	1	3
Date		Month		Year			

(iii) Name of the Company:

INVICTUS INSURANCE BROKING SERVICES PRIVATE LIMITED

(iv) Category of the Company: [Pl. tick]

1.	Public Company	-
2.	Private Company	✓

Sub Category of the Company: [Please tick whichever are applicable]

1.	Government Company	-
2.	Small Company	-
3.	One Person Company	-
4.	Subsidiary of Foreign Company	-
5.	NBFC	-
6.	Guarantee Company	-
7.	Limited by shares	✓
8.	Unlimited Company	-
9.	Company having share capital	-
10.	Company not having share capital	-
11.	Company Registered under Section 8	-

(v) Address of the Registered Office and contact details:

Registered Office Address:	Office 303, Cosmos Mary Park, 116 /B Kolbad, Thane West, Mumbai 400601
Contact No:	9833248023

(vi) Whether shares listed on recognized Stock Exchange(s): **No**

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

Regd Off.: Office 303, Cosmos Mary Park, 116 /B Kolbad, Thane West, Mumbai 400601

All the business activities contributing 100% or more of the total turnover of the Company shall be stated:

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1.	Act as the direct insurance broker in the field of general insurance or life insurance broker or both on behalf of client with the permission of IRDAI.	66220	100.00%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:

Sr. No.	Name and Address of the Company	CIN/GLN	Holding / Subsidiary / Associate	% of shares held	Applicable section
Not Applicable					

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity):

i) Category-wise Share Holding:

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	-	10,00,000	10,00,000	96.00%	-	10,00,000	10,00,000	96.00%	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	-	-	-	-	-	-	-	-	-
e) Banks/FI	-	-	-	-	-	-	-	-	-
f) Any Other.....	-	-	-	-	-	-	-	-	-
Sub-total A(1):		10,00,000	10,00,000	96.00%		10,00,000	10,00,000	96.00%	-
(2) Foreign									
a) NRIs- Individuals		-	-	-		-	-	-	-
b) Other -- Individuals									
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks / FI	-	-	-	-	-	-	-	-	-
e) Any Other....	-	-	-	-	-	-	-	-	-
Sub- total A(2):									
Total shareholding of promoter (A) = A(1) + (A)(2)		10,00,000	10,00,000	96.00%		10,00,000	10,00,000	96.00%	-

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Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total shares	
B. Public Shareholding									
I. Institutions		-	-	-		-	-	-	-
a) Mutual Funds		-	-	-		-	-	-	-
b) Banks / FI		-	-	-		-	-	-	-
c) Central Govt.		-	-	-		-	-	-	-
d) State Govt(s).		-	-	-		-	-	-	-
e) Venture Capital Funds		9,390	9,390	0.90%		9,390	9,390	0.90%	-
f) Insurance Companies		-	-	-		-	-	-	-
g) FIIS		-	-	-		-	-	-	-
h) Foreign Venture Capital Funds									-
i) Others (specify)		-	-	-		-	-	-	-
Sub-total (B)(1):		9,390	9,390	0.90%		9,390	9,390	0.90%	-
II Non-Institutions									
(a) Bodies Corp.									
• Indian		-	-	-		-	-	-	-
• Overseas		32,276	32,276	3.10%		32,276	32,276	3.10%	-
(b) Individuals									
• Individual shareholders holding nominal share capital upto Rs.1 lakh.		-	-	-		-	-	-	-
• Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	-	-	-	-	-	-	-	-	-
c) Others (specify)		-	-	-		-	-	-	-
Sub-total (B)(2):		32,276	32,276	3.10%		32,276	32,276	3.10%	-
Total Public Shareholding (B)=(B) (1)+(B) (2)		41,666	41,666	4.00%		41,666	41,666	4.00%	-

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Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total shares	
C. Share held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	
Grand Total (A+B+C)		1,041,666	1,041,666	100%		1,041,666	1,041,666	100%	0.00%

(ii) *Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of Shares	% of total shares of the Company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered to total shares	
1.	Dhirendra Mahyavanshi	9,00,000	86.40%	-	9,00,000	86.40%	-	-
2.	Smita Mahyavanshi	1,00,000	9.60%	-	1,00,000	9.60%	-	-
	Total	10,00,000	96.00%		10,00,000	96.00%		

(iii) *Change in Promoters' Shareholding (please specify, if there is no change)

There has been no change in the Promoter's shareholding during the financial year 2019-20.

(iv) Shareholding pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sr. No.	Name of the Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Share	% of total shares of the Company	No. of Share	% of total shares of the Company
	Nexus Ventures III, Ltd.				
	At the beginning of the year	32,276	3.10%	32,276	3.10%
	Bought during the year	-	-	-	-
	At the end of the Year	-	-	32,276	3.10%
2.	Vistra ITCL (India) Limited (formerly IL&FS Trust Company Limited) -Trustee-Blume Ventures Fund IA				
	At the beginning of the year	9,390	0.90%	9,390	0.90%

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	Bought during the year	-	-	-	-
	At the end of the Year	-	-	9,390	0.90%

(v) *Shareholding of Directors and Key Managerial Personnel:

Sr. No.	Name of the Promoter	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Share	% of total shares of the Company	No. of Share	% of total shares of the company
1	Dhirendra Mahyavanshi				
	At the beginning of the year	9,00,000	86.40%	9,00,000	86.40%
	Change during the year	-	-	-	-
	At the end of the Year			9,00,000	86.40%
2	Smita Mahyavanshi				
	At the beginning of the year	1,00,000	9.60%	1,00,000	9.60%
	Change during the year	-	-	-	-
	At the end of the Year	-	-	1,00,000	9.60%

INDEBTEDNESS:

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year.				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtedness during the financial year.				
i) Addition	-	-	-	-
ii) Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtedness at the end of the financial year.				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

V. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

A. Remuneration to Managing Director, Whole-time Directors and/or Manager: NA

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B. Remuneration to other Directors: NA

C. Remuneration to Key Managerial Personnel other than MD/ Manager/ WTD: NA

VI. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCLT/COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

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